

## Electronic Acknowledgement Receipt

<b>EFS ID:</b>	6540819
<b>Application Number:</b>	09923035
<b>International Application Number:</b>	
<b>Confirmation Number:</b>	8962
<b>Title of Invention:</b>	Methods and apparatus for formulation, initial public or private offering, and secondary market trading of risk management contracts
<b>First Named Inventor/Applicant Name:</b>	John Nafeh
<b>Customer Number:</b>	22830
<b>Filer:</b>	Kent Brian Bathurst
<b>Filer Authorized By:</b>	
<b>Attorney Docket Number:</b>	PA3817US
<b>Receipt Date:</b>	30-NOV-2009
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<b>Time Stamp:</b>	21:04:01
<b>Application Type:</b>	Utility under 35 USC 111(a)

### Payment information:

Submitted with Payment	yes
Payment Type	Deposit Account
Payment was successfully received in RAM	\$650
RAM confirmation Number	11490
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## File Listing:

Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
1		3817US_Amendment.pdf	81132	yes	14
			1999c034ed803f98cf783f3224f1aa8cae27fd0		
	Multipart Description/PDF files in .zip description				
	Document Description		Start	End	
	Amendment After Final		1	1	
	Claims		2	7	
	Applicant Arguments/Remarks Made in an Amendment		8	14	
Warnings:					
Information:					
2	Request for Continued Examination (RCE)	3817US_PTO_SB_30EFS.pdf	30882	no	2
			3d3c2afd17c6c6cc011b2b06f994d63d0e4090e0		
Warnings:					
This is not a USPTO supplied RCE SB30 form.					
Information:					
3	Fee Worksheet (PTO-875)	fee-info.pdf	32278	no	2
			83e1ac3fb07d48d43cd24e52e228029ae0202cd9		
Warnings:					
Information:					
Total Files Size (in bytes):			144292		

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**New Applications Under 35 U.S.C. 111**

**If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.**

**National Stage of an International Application under 35 U.S.C. 371**

**If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.**

**New International Application Filed with the USPTO as a Receiving Office**

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